

14th Annual Emerging Trends in Fraud Investigation and Prevention

Workshop	Session	Speaker		Employer	Description
General Session	General Session: Emerging Trends in Social Network Investigations Cynthia Hetherington	Cynthia	Hetherington	Hetherington Group	<p>Live demonstration designed to update investigators using the Internet and Social Media in their investigations. This is an overview showing the popular search engines and overlooked by most users. A foray into Web 2.0 social networks and open sources will open up the class understanding to the volume of information people share about themselves.</p> <p>Highlights:</p> <ul style="list-style-type: none"> • Learn how monitoring social media can be the best intelligence tool for security. • Expose fraudulent business enterprises, locate assets, and find undercover intelligence. • Attendees will leave with actual Web sites to utilize in their own investigations.
Workshop 1	Investigating with Social Networks	Cynthia	Hetherington	Hetherington Group	<p>Using social networks allows you to vet hires, conduct due diligence pre-acquisition, prevent competitive intelligence, and identify problem employees before workplace violence or intellectual property theft occurs. This session reviews social- and photo-network Web sites, mapping tools, and other Internet features that can be used for intelligence-gathering and security assessments.</p> <p>Highlights:</p> <ul style="list-style-type: none"> • Understand the difference between Web 2.0 and traditional Web sites • Expose social networks and personal vulnerabilities. • Hunt down online social network profiles.
Workshop 2	Effectively managing global fraud and corruption risks	Dave	Kistler	Ernst & Young, LLP	<p>Fraud and corruption risks remain front and center for all organizations and are top of mind to corporate executives and board members. With the expansion into emerging markets to the recent implementation of new anti-fraud/corruption legislation – the pressure is ever more present for companies to effectively manage these risks. This session will examine the practical approaches and leading practices organizations are implementing to mitigate fraud and corruption risks globally.</p>
Workshop 3	Bobby Thompson & the U.S. Navy Veterans Association: A Case Study	Dionne Sarah Brad Arvin Charles Leo Erica	DeNunzio Perez Tammaro Clar Thomas Palaibis Moore	Ohio Attorney General's Office Ohio Attorney General's Office Ohio Attorney General's Office Ohio Attorney General's Office Ohio Attorney General's Office Ohio Attorney General's Office Ohio Attorney General's Office	<p>Bobby Thompson, aka John Donald Cody, was recently convicted by a Cleveland jury for a massive fraud and theft centered around the creation and fundraising for a sham charity, the U.S. Navy Veterans Association. Through the use of professional solicitors, the USNVA received \$100+ million from donors across the country who thought they were supporting deployed and returning veterans. But the scheme came undone when it was reported that the state chapter leaders and board members didn't exist. Thompson, which was a stolen identity, went on the lam and became one of America's Most Wanted. U.S. Marshals found him in Portland and he faced charges in Ohio for his actions. Learn about the case as seen through the eyes of civil attorneys in the Attorney General's Charitable Law Section who recovered funds to redirect to genuine veteran services, as well as the prosecutors who won a conviction.</p>
Workshop 4	Inmates Dialing for Dollars	Andreas	Olligschlaeger, Ph.D.	TruNorth Data Systems, Inc.	<p>While incarcerated many inmates continue to commit crimes, one of which is fraud. The most commonly used medium to commit fraud while in jail is inmate phones. The problem is that it is humanly impossible to monitor every inmate phone call. Some facilities log over 5,000 inmate phone calls per day averaging 15 minutes each, resulting in 1250 hours or over 52 days' worth of audio. Inmates are well aware of this and take advantage of the lack of monitoring. This presentation discusses ways in which advanced technologies are being used to detect fraud committed by inmates over the phone.</p>
Workshop 5	A Man, His Wife, His Mistress, and his Accountant	Greg Richard	Peterson Rolwing	Peterson, Conners, Fergus & Peer LLP United States Department of Justice	<p>Investigating, Prosecuting and Defending Modern Day Fraudsters: A case study in U.S. v. Tom Parenteau, et. al</p>
Workshop 6	Workshop and Demonstration on How to Testify in Court - Direct Examination	Jeannine Constance William	Lesperance Nearhood Becker	Ohio Attorney General's Office Ohio Attorney General's Office Ohio Attorney General's Office	<p>A brief lecture outlining best practices and pitfalls to avoid in testifying on direct examination, followed by a demonstration of a direct examination using a the fact pattern of an AGO investigator testifying in a telemarketing fraud case.</p>

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General Session	General Session: Auditor Dave Yost The Ethical Dimension To Risk Assessment	Dave	Yost	Ohio Auditor of State's Office	<p>The Ethical Dimension to Risk Assessment There's more to evaluating risk than just the numbers. When human beings are involved, we must consider the heart as well – the ethical and moral dynamic. Like in the case of Enron, ethical breakdown can result in financial collapse. What are some indicators of ethical decline that can serve as an early warning system to avoid financial distress?</p> <p>Learning Objectives: Participants will learn about the importance of risk assessment and developing/maintaining a strong assessment plan. Using the book The Seven Signs of Ethical Collapse by Marianne M. Jennings, J.D., Auditor Yost will share with participants the warning signs of moral decline, as well as Ohio examples of the financial distress that can occur with ethical issues.</p>
Workshop 7	Psychology of Fraud	Toby	Groves	GRC Research and Consulting	<p>Despite significant attention and effort, our understanding of how human behavior affects organizational decisions involving accounting, auditing and aberrant behaviors such as fraud are incomplete. Our assumptions regarding who is involved, how it starts, and why it grows are flawed, which leads to a variety of critical judgment errors. This presentation delves into the very latest breakthroughs in psychological research which uncover individual and group behaviors that reveal how our minds actually work in relation to these experiences. Interactive demonstrations dramatically exhibit to attendees how radically different our intuitions are in relation to the reality of these events, and how they can immediately use this new knowledge in their work.</p>
Workshop 8	Walker, Talker, Check Stalker	Jerry	Phillips	United States Postal Inspection Service	<p>This case study will take participants into the details of the investigation and prosecution of a multi-year check fraud ring that operated successfully in Chicago, IL. Using an extensive network in a multi-tiered criminal organization, ring leaders targeted and stole numerous business checks mailed through the US Postal Service and opened fraudulent bank accounts to negotiate the checks. This case, which has resulted in the prosecution of more than 30 defendants, caused several million dollars in loss to financial institutions. Inspectors used numerous Informants to assist in the investigation, and participants will be able to observe video of some of the undercover meetings as well as the execution of a search warrant.</p>
		Christopher	Grohman	United States Department of Justice	
Workshop 9	Charitable Fraud: Stealing Donations and the Public Trust	Sam	Kirk	Ohio Attorney General's Office	<p>Charitable fraud can take many forms. Whether it is rooted in setting up sham charities, lying for donations, or stealing charitable assets, charitable fraud takes advantage of American's generosity and misdirects resources from important community causes. Fraud also damages the integrity of the entire charitable sector when members of the community believe they can no longer trust charities to make wise use of their gifts. The Ohio Attorney General's Charitable Law Section routinely conducts investigations that result in everything from criminal prosecution, civil litigation or assisting charities in developing internal controls. Learn about red flags that might signal problems in charitable organizations and hear about some of the recent trends observed in the Charitable Law Section.</p>
		Beth	Short	Ohio Attorney General's Office	
Workshop 10	Predictive Analytic Fraud Detection	Andreas	Olligschlaeger, Ph.D.	TruNorth Data Systems, Inc.	<p>Predictive analytics have long been used by banks to detect and prevent fraud. Yet predictive policing has only recently become the latest buzzword in law enforcement, with many agencies jumping on the bandwagon but having little understanding of what predictive policing really is. Primarily focused on identifying areas in which future crimes are more likely to occur, the underlying techniques of predictive policing can also be used to identify areas and time periods in which certain types of fraud are more likely to occur. The focus of this discussion is to outline some of the techniques that can accomplish this and to provide examples from other fields.</p>
Workshop 11	Malicious Website Investigation	Nicholas	Newman	National White Collar Crime Center	<p>This presentation will cover statistics and technical aspects of phishing and Internet fraud, including how to recognize phishing websites and extract evidence from typical phishing websites. Topics including performing domain lookups and evaluating HTML and PHP code will be covered.</p>

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Workshop 12	Workshop and Demonstration on How to Testify in Court - Cross Examination	Jeannine Constance William	Lesperance Nearhood Becker	Ohio Attorney General's Office Ohio Attorney General's Office Ohio Attorney General's Office	A brief lecture outlining best practices and pitfalls to avoid in testifying on cross examination, followed by a demonstration of a cross examination using the same fact pattern as the direct examination workshop.
Workshop 13	Identifying & Avoiding Healthcare Fraud	Stanley	Olejarski	Howard, Wershbale & Co.	This presentation will focus on common health care fraud techniques and schemes. It will discuss how these frauds were perpetrated, how and when they were detected and most importantly, how they could have been avoided or detected earlier. The workshop will take a pragmatic approach to systems and controls, understanding that unduly complicated or costly systems and procedures will not be effective.
Workshop 14	Fraud Case Study by the Auditor of State	Roy Barbara	Speer Cannon	Ohio Auditor of State's Office Ohio Auditor of State's Office	This workshop is about a fraud case study from the Auditor of State's Special Investigation Unit Section. This session will provide details on how a person in a position of authority and trust has misused public funds and engage in a pattern of corruption and fraud.
Workshop 15	Overview of Medicare Fraud Schemes	Brad	Cassleman	Cahaba Safeguard Administrators, LLC	Medicare fraud accounts for billions of dollars of losses to the Federal Government each year. Also, a number of the schemes perpetrated against Medicare also extend to other insurance providers, including Medicaid. This workshop will aspire to discuss some of the common as well as emerging fraud trends seen in the Medicare program.
Workshop 16	Predictive Analytic Fraud Detection	Andreas	Olligschlaeger, Ph.D.	TruNorth Data Systems, Inc.	Predictive analytics have long been used by banks to detect and prevent fraud. Yet predictive policing has only recently become the latest buzzword in law enforcement, with many agencies jumping on the bandwagon but having little understanding of what predictive policing really is. Primarily focused on identifying areas in which future crimes are more likely to occur, the underlying techniques of predictive policing can also be used to identify areas and time periods in which certain types of fraud are more likely to occur. The focus of this discussion is to outline some of the techniques that can accomplish this and to provide examples from other fields.
Workshop 17	Ethics for CFEs	Heinz	Ickert	Heinz E. Ickert	A discussion of ethical considerations for the certified fraud examiner, certified public accountants and investigators. In addition to discussion of ethical considerations involved in while conducting a fraud investigation, session will discuss corporate behavioral trends and developments. CFEs – meet your annual ethical training requirement.
Workshop 18	Financial Fraud in the Insurance Industry/Insurance Claims	Ingrid	Squire	National Insurance Crime Bureau	Obtain a policy, file a false claim...Got your attention? Questionable insurance claims are on the rise. This workshop will focus on Insurance fraud including the application, personal injury, vehicle, identify theft, property, staged accidents and contractor fraud cases. Participants will learn how good "customer service" may contribute to years of false payment claims, organized fraud schemes and coverage. This workshop will also include information necessary to assist in a successful financial investigation.
General Session	General Session: Mark Nigrini Benford's Law	Mark	Nigrini	Published Author	<ul style="list-style-type: none"> □ A look at a historical document from 3,000 years ago with a lively discussion of Benford's original paper and an explanation of what Benford's Law is and why we have these skewed digit patterns occurring. We'll have examples of some interesting authentic data sets that followed Benford's Law and some fraudulent data sets that didn't follow Benford's Law. The talk will include amusing anecdotes such as Benford's laser-pointer discovery and his house in Schenectady, NY. □ Interesting examples of applying Benford's Law will be shown, includ-ing my analysis of the tax returns of former President Clinton, and my attempt to personally show him my work □ A review of amazingly perfect data sets such as my application of the law to hydrology data that was published in Mathematical Geology. □ We'll see how to spot invented fraudulent numbers just by looking at their digits and we'll also talk about the link to winning a lottery.
Workshop 19	How to Interview like the Dickens	Don	Rabon	Successful Interviewing Techniques	Utilizing the Dickens' characters to improve your interviewing skills. A quick study with regard to becoming a better persuasive interviewer is to incorporate the dynamics of some of the notable Dickens' characters. With these entities you will have more than a "ghost" of a chance to become an effective interviewer.
Workshop 20	The Changing Fraud Environment	Robert	Torok	McGladrey, LLP	Current fraud issues, unethical behavior and red flags. Do you know it when you see it?

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Workshop 21	Cuyahoga Heights Schools - A Case Study of Greed & Deception	Jason	Whitesel, CFE	Ohio Auditor of State's Office	This session is a case study of Cuyahoga Heights Schools demonstrating how greed and misplaced trust resulted in one of the largest Special Audit fraud cases ever conducted by the Ohio Auditor of State's Office.
Workshop 22	Assessing Subject Credibility Over the Phone	Joseph	Buckley	John E. Reid and Associates, Inc.	This presentation we focus on the verbal and paralinguistic behavior symptoms that are displayed by a person who is telling the truth during a non-accusatory interview, as well as those displayed by a person who is withholding or fabricating relevant information during a telephone interview. The ability to recognize and evaluate these behaviors becomes particularly important in those cases in which the available investigative information does not definitively establish the credibility of the subject. At the conclusion of this segment of the program, the participant will have a behavioral model for both the truthful and deceptive individual that can be used for the evaluation of subjects in future investigative interviews. During this presentation you will hear actual interviews to illustrate the material.
Workshop 23	ID Theft and Economic Crimes	Melissa	Szozda	Ohio Attorney General's Office	Participants will learn common ways in which identity theft occurs, how to protect your identity, and what to do if your identity has been compromised. Participants will also learn about trends in economic crimes, and how technology and various persuasion tactics are used against victims. They will also learn how the Attorney General's Office has addressed the problems of identity theft and economic crimes through its newly formed units.
		Kate	Christobek	Ohio Attorney General's Office	
Workshop 24	Taking Down the Lion: The Triumphant Rise and Tragic Fall of Tyco's Dennis Kozlowski	Catherine	Neal	Northern Kentucky University	<i>Taking Down the Lion</i> shines a bright light on former CEO Dennis Kozlowski and the Tyco corporate scandal—it is the definitive telling of a largely misunderstood episode in U.S. business history. In an unfiltered view of corporate America, Catherine Neal pulls back the curtain to reveal a world of big business, ambition, money, and an epidemic of questionable ethics that infected not only business dealings but extended to attorneys, journalists, politicians, and the criminal justice system.
General Session	General Session: Persuasive Interviewing Don Rabon	Don	Rabon	Successful Interviewing Techniques	Of the three dynamics of the interviewing process – questioning, detecting deception and persuasion – the last element – persuasion - most often proves to be the most challenging requiring the highest level of knowledge, skill and ability. From the writings of Aristotle to modern scientific research, the concept of motivating another to act in a certain manner has been a continuing factor within the human equation. Additionally, the interviewer as a persuader is faced with the additional challenges of ensuring that the cooperation is voluntary and that nothing has been done within the interview that would cause someone to make an admission to something that was not true. Within the session Persuasive Interviewing, the foundational aspects of the persuasion process will be addressed. The concept and role of attitudes as it relates to the persuasive process will be presented in relation to the interview process. Lastly the specific transitions that must take place to move the interviewee from unwilling to willing will be examined.
Workshop 25	Forensic Analytics	Mark	Nigrini	Published Author	An overview of Forensic Analytics <input type="checkbox"/> A description of several specific effective and efficient forensic analytic tests <input type="checkbox"/> General purpose and special purpose soft-ware than can be used <input type="checkbox"/> A look at the analytics of the Charlene Corley, Harriette Walters, Ryan Homa, & Lynn Scheuffler fraud cases
Workshop 26	Not on My Watch	Kelly	Hephner	Village of Montpelier	This presentation will discuss the case of a former clerk of Williams County village who please guilty to stealing more than \$90,000 using utility receipts.
Workshop 27	Identifying & Avoiding Healthcare Fraud	Stanley	Olejarski	Howard, Wershale & Co.	This presentation will focus on common health care fraud techniques and schemes. It will discuss how these frauds were perpetrated, how and when they were detected and most importantly, how they could have been avoided or detected earlier. The workshop will take a pragmatic approach to systems and controls, understanding that unduly complicated or costly systems and procedures will not be effective.

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Workshop 28	Employee Risk Profiling	Greg	Wright	ACFE Central Indiana Chapter	How to avoid rogue employees and how to profile potential occupational and work comp fraudsters. Female fraudsters exhibit different red flags than male fraudsters.
Workshop 29	Malicious Website Investigation	Nicholas	Newman	National White Collar Crime Center	This presentation will cover statistics and technical aspects of phishing and Internet fraud, including how to recognize phishing websites and extract evidence from typical phishing websites. Topics including performing domain lookups and evaluating HTML and PHP code will be covered.
Workshop 30	Health Care Fraud-Where Does it Hurt?	Greg	Blate, CPA, CFE, CBA	Veritas Solutions Group LLC	The most common health care fraud violations are not sophisticated schemes, but they are numerous and therefore costly. Because the health care industry is huge and in a state of continual transition, the volume of fraud is significant and the bottom line impact of fraud is growing at an alarming pace. This fraud affects us all...even those operating professionally outside of the health care arena. Everyone pays the price for health care fraud through higher health plan dues and out-of-pocket costs. It also results in reduced health plan benefits and coverage to compensate for higher costs. In this session, Greg Blate, CPA, CFE, CBA, Managing Director, Veritas Solutions Group LLC, will discuss current health care schemes, and how they are being executed. You will hear what the current fraud trends are among providers, covered members and others and, more importantly, you'll also learn how to combat these activities.
Workshop 31	Assessing Subject Credibility Over the Phone	Joseph	Buckley	John E. Reid and Associates, Inc.	This presentation we focus on the verbal and paralinguistic behavior symptoms that are displayed by a person who is telling the truth during a non-accusatory interview, as well as those displayed by a person who is withholding or fabricating relevant information during a telephone interview. The ability to recognize and evaluate these behaviors becomes particularly important in those cases in which the available investigative information does not definitively establish the credibility of the subject. At the conclusion of this segment of the program, the participant will have a behavioral model for both the truthful and deceptive individual that can be used for the evaluation of subjects in future investigative interviews. During this presentation you will hear actual interviews to illustrate the material.
Workshop 32	Anatomy of Federal Case: A Legal Issues Update	Brenda Doug Tim	Shoemaker Squires Mangan	U.S. Attorney's Office U.S. Attorney's Office U.S. Attorney's Office	White-Collar trends and issues are always evolving and changing. This course examines significant federal criminal cases to highlight the latest and greatest ways fraud cases can go very well or very bad. Defenses and myths will be debunked and practical procedures outlined.
Workshop 33	Office Managers Gone Wild	Chrissie	Powers	P.D. Eye Forensics, LLC	A discussion of how Office Managers have stolen from the medical practice, as well as other organizations. The discussion will focus on how the Office Manager abused their fiduciary duty, fraudulent schemes used to divert company funds and the personal benefits/gains received by the perp. The session will conclude by discussing deterrence methods to implement at your medical practice or organization.
Workshop 34	New Technologies in Health Care Investigations	Christy Jonathan	Haenszel Banks	Ohio Attorney General's Office Ohio Attorney General's Office	This presentation will focus how the Medicaid Fraud Control Unit is utilizing advances in technology to assist in investigations specifically in nursing homes. The MFCU has used these technological advances in cases of Medicaid fraud, theft, drug diversion, and patient abuse and neglect. The speakers will discuss various types of technology being used, limitations of the technology, legal aspects surrounding the use of technology, and success stories.
Workshop 35	The Face of Real Estate Fraud	Cynthia Scott	Shaw Smith	Columbus Police Department Franklin County Prosecutor	This section will cover the current hot REO frauds: Foreclosure rescue, Short Sale and Loan Modification Fraud, REO Fraud, Reverse Mortgage Fraud, and Adverse Possession. "How to put the case together for prosecution" This section will also cover obtaining the documents and how to organize them in an electronic file to present to the prosecutor.
Workshop 36	The Inside Threat: Trust, Control, Access, and Fraud	Jason	Watson	FBI	One of the greatest threats to every organization is from within. Once in the door, employees with access have an ability to generate the greatest damage. This presentation will focus on corporate fraud trends and several case studies whereby the insider brought down an entire organization.