

# Questions from the Dec. 19 non-mandatory Vendor Teleconference

## AOS RFP 2019-002

\*AOS attempted to transcribe all of the questions and answers discussed during the Vendor Teleconference. AOS does not guarantee that the questions and answers were accurately transcribed. Vendors may seek confirmation of accuracy and/or clarification of any of the questions and answers by following the inquiry process in Part Three of the RFP and by sending the questions to [performance@ohioauditor.gov](mailto:performance@ohioauditor.gov).

\*AOS elected to defer to answer some of the questions posed during the teleconference. Those questions are denoted as such and are being answered here.

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**Q1. My question is about interfacing with AOS: How will we be coordinating efforts with AOS versus working autonomously in fieldwork and reporting phases of our progress?**

**A1.** There will be interfacing with AOS staff; there will be a project manager from AOS who will lead all aspects of the audit work. Because our Office is issuing the report, we need to ensure compliance with government auditing standards.

**Q2. In regards to using technical subject matter, are you expecting a review as technical as what you would get from a paid professional engineer or is not that level of expertise?**

**A2.** (Question deferred during the teleconference.) The AOS does not require that a licensed professional engineer sign or seal the products of this performance audit contract. However, extensive experience in bridge, pavement, and maintenance management are required. The AOS expects that the successful proposer will demonstrate extensive engineering experience in the areas of bridge, pavement, and maintenance management

**Q3. For the engagement manager, there is a request for references; for those over and above the client references, what are you looking for?**

**A3.** We are just looking for client references.

**Q4. If we were to subcontract with a firm that has done ODOT work in the past, would that be a conflict of interest in regard to a GAGAS audit?**

**A4.** We don't believe that that would pose as a conflict of interest.

**Q5. Would you view any ID [identification] of performance gaps as a preclusion selected vendor from engaging in work with ODOT in future work?**

**A5.** It would not be a conflict of interest for this audit; ODOT would need to have their own opinion on that matter.

**Q6. After the use of technical memoranda in the report, would it be included in the audit, or is it referenced?**

**A6.** It's our intent that it would not be directly included in the audit, but that the technical memoranda information would be turned into information included in the official audit report.

**Q7. Regardless of conflict of interest, they [the vendor] would still be required to comply with independence in compliance with GAGAS?**

**A7.** (Question deferred during the teleconference.) We believe the answer is yes, the independence requirements in GAGAS apply to subject-matter experts

**Q8. Are you responding to any previously submitted questions at this time?**

**A8.** We don't have any open questions right now, our Office did experience email issues this past weekend and this week. If you tried to submit a question, we may not have not gotten it.

**Q9. To what degree would you need supporting documentation for technical memoranda? I would assume the standard procedure.**

**A9.** We will need all of the work paper documentation produced to support the analysis.

**Q10.** Are there only four resumes that can be submitted, or can additional resumes be submitted?

**AOS Reply:** Can you read the question off the webpage?

**Questioner's Reply:** Question reads "are proposers limited to submitting only 4 resumes, or can additional support resumes be provided."

**A10.** Yes, proposals are limited to supporting only 4 resumes.

**Q11. Will you be releasing the list of attendees to the conference call?**

**A11.** We are not taking attendance, so we are not releasing attendance.

**Q12. Is this a time- and materials-based billing process, or is this fixed-fee billing?**

**A12.** The way it is presented in the RFP, you will bill actual time and materials up to the fixed amount in your proposal, so it is a fixed fee.

**Q13. In terms of standard contract, are there provisions of accounting for exceptions in contract language?**

**A13.** (Question deferred during the teleconference.) AOS is unclear what the questioner is asking and requests the question be re-submitted to [performance@ohioauditor.gov](mailto:performance@ohioauditor.gov) with a reference to the corresponding section and page number of the RFP.